क्रमांक :- संकीर्ण-२०२४/प्र.क्र.१८७/२०२४/ भाप्रसे-३
सामान्य प्रशासन विभाग,
मंत्रालय, मुंबई - ४०० ०३२
दिनांक :- २७/ ०६ / २०२४

वाचा :- **Ministry of Personal Public Grievances & Pensions Department of Personnel & Training Letter F.No.11017/01/2016-AIS-III Date-20th March, 2023**

केंद्र शासनाच्या कार्मिक, लोक तक्रारी व निवृत्तीवेतन मंत्रालय, कार्मिक व प्रशिक्षण विभाग, नवी दिल्ली यांचे अखिल भारतीय सेवेतील अधिकाऱ्यांनी शेअर्स, सेक्युरिटीज, डिबेंचर्स, विक्री आणि खरेदीमधील व्यवहारांची माहिती देण्याची मर्यादा या अनुषंगाने दिलेल्या सूचना दि.२०/०३/२०२४ च्या पत्रान्वये सूचना दिल्या आहेत. सदर माहितीसाठी व उचित कार्यवाहीसाठी अग्रेषित :-

अ.क्र.	क्रमांक	विषय
१	Government of India, Ministry of Personnel, Public Grievances & Pensions, Department of Personnel & Training F.No.11017/01/2016-AIS-III Dated – 20/03/2024	Limit for intimation in respect of transaction in sale & purchase of share, securities, debentures etc. - reg.

सदर पृष्ठांकन हे महाराष्ट्र शासनाच्या www.maharashtra.gov.in या संकेतस्थळावर उपलब्ध करण्यात आले असून त्याचा संकेतांक क्रमांक २०२४०६२७१२३५२९६५०७ असा आहे. हे पृष्ठांकन डिजीटल स्वाक्षरीने साक्षांकित करुन काढण्यात येत आहे.

ABASAHEB ATMARAM KAWALE

Digitally signed by ABASAHEB ATMARAM KAWALE
DN: c=IN, o=GOVERNMENT OF MAHARASHTRA, ou=GENERAL ADMINISTRATION DEPARTMENT, 2.5.4.20=dda6dce16e02931d0bcf354ed2558cab3130bb640d7b4ac92d50c0033f733019, postalCode=400032, st=Maharashtra, serialNumber=B6F74D9AF2E69AEA2F53DF24447EC816F3D101C430D5DA18001F48C333A60BBB, cn=ABASAHEB ATMARAM KAWALE
Date: 2024.06.27 12:47:31 +05'30'

(आबासाहेब आ. कवळे)
अवर सचिव, महाराष्ट्र शासन

प्रत - १) महाराष्ट्र संवर्गातील सर्व भाप्रसे अधिकारी
२) अ.मु.स., गृह विभाग, मंत्रालय, मुंबई - ४०० ०३२.
३) अ.मु.स., महसूल व वन विभाग, मंत्रालय, मुंबई - ४०० ०३२.
४) निवड नस्ती (भाप्रसे - ३)

29-03-23

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338/आप्रसे-3
13/06/24

मुख्य सचिवांचे कार्यालय
मंत्रालय, मुंबई-३२
दिनांक :
ई-ऑफिस क्र.

F.No 11017/01/2016-AIS-III
Governments of India
Ministry of Personal Public Grievances & Pensions
Department of personnel & Training

New Delhi dated the 20th March, 2023

To
The Chief Secretaries of all the States/UTs

Subject- **Limit for intimation in respect of transactions in sale and purchase of share, securities, debentures etc.-reg.**

Sir/Madam,

I am directed to refer to Rule 14(1) of AIS (Conduct) Rules, 1968 which provides as under

> 14(1) No member of the Service shall speculate in any stock, share or other investments but this provision will not apply to occasional investment made through Stock-brokers or other persons duly authorised on license under the relevant law.
>
> Explanation.-Frequent Purchase or sale or both of shares, securities or other investments shall be deemed to be speculation within the meaning of this sub-rule.

2. In the light of Rule 14(1) of AIS (Conduct) Rules, 1968, with a view to enable the administrative authorities to keep a watch over the transactions in any stock, share or other investments etc. in respect of members of All India Services (AIS), it has been decided that an intimation may be sent in the enclosed Proforma to the prescribed authority every year, if the total transactions in stock, share or other investments etc. exceed **six months' basic pay of Government servant** during a calendar year (to be submitted by 31st January of subsequent year).

3. It is also clarified that since share, securities, debentures, etc are treated as moveable property as per explanation-I under rule 16 of AIS (Conduct) Rules, 1968, if an individual transaction exceeds two months' basic pay of the member of service as prescribed in Rule 16(4) of ibid rules, intimation to the prescribed authority would still be necessary. The intimation as envisaged in para 2 above will be in addition to the said intimation under Rules 16(4) of AIS(Conduct) Rules, 1968.

4. Accordingly, in exercise of the power conferred under Rules 16(5) of AIS (Conduct) Rules, 1968, aforesaid instructions are issued herewith with the approval of competent authority.

5. These instructions may please be brought to the notice of all the members of All India Services.

Yours faithfully,
Ram Lakhan 20/03/23
(Ram Lakhan)
Under Secretary to the Government of India
Tele: 011-23093479

नवीन प्रकरण
श्री कि. शिरसाट
पृष्ठांकन करावे.
अ.मु.स. (सेवा)
13/06/24

Copy to-

1. All the Secretaries of Ministries/Departments of Govt of India.

2. The Secretary, Ministry of Home Affairs North Block, New Delhi. [Kind Attn: Additional Secretary (Police) / (UTs)].

3. The Secretary, Ministry of Environment, Forest & Climate Change, India Paryavaran Bhawan, Jor Bagh Road, New Delhi.

4. PSO to Secretary (P) / PPS to EO&SS/PS to AS(S&V)/PS to AS(Estt.)/PS to AS(Trg.)/PS to JS(S&V)/PS to AS(Estt.)/PS to JS(Admin).

5. All DS/Directors / Sections of Department of Personal & Training.

Ram Lakhan 20/03/23

(Ram Lakhan)

Under Secretary to the Government of India

Proforma for intimation of total transactions in any stock, share or other investments etc. under Rules 16(5) of AIS (Conduct) Rules, 1968.

1. Name of the officer:
   a. Designation: ....
   b. Service to which belongs and cadre:..
   c. Employee ID ./Code No:...

2. Scale of pay and present pay:...

3. Details of each transaction made in share, securities, debentures, or other investments etc. during the calendar year (Attach separate sheet, if required)..........
....
....

4. Particular of the party/firm with whom transaction(s) is made:-
   (a) Is party related to the applicant?..
   (b) Did the applicant have any dealings with the party in his/her official capacity at any time or is the applicant likely to have any dealings with him in the near future..

5. Source(s) from which financed:-
   (a) If personal savings, details thereof...
   (b) If other source, details thereof..

6. Any other relevant fact which applicant may like to mention.

Declaration

I hereby declare that the particulars given above are true.

Place:
Date:

Signature

Designation